

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

2

Application Number 10/538,126

Filing Date June 6, 2005

First Named Inventor Shunsuke Kuroiwa

Art Unit 1624

Examiner Name Jaisle, Cecilia M.

Attorney Docket Number 442P097

ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/ Incomplete Application

☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation
☐ Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

-Statement of The Substance of the Interview

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Nields & Lemack

Signature

Printed name Kevin S. Lemack

Date February 26, 2008

Reg. No. 32,579

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name Kevin S. Lemack

Date February 26, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shunsuke Kuroiwa et al.
Serial No. : 10/538,126
Filed : June 6, 2005
For : 3-PHENYL-CINNOLINE ANALOGUE AND
ANTITUMOR AGENT USING THE SAME
Examiner : Jaisle, Cecilia M.
Art Unit : 1624
Confirmation No. : 2218
Customer No. : 42754
Attorney :
Docket No. : 442P097
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

I hereby certify that this correspondence
is being deposited with the United States
Postal Service as first class mail in an envelope
addressed to: Commissioner For Patents
P.O. Box 1450, Alexandria, VA 22313-1450
on February 26, 2008 (Date)

Kevin S. Lemack
Name of applicant, assignee, or Registered
Representative

[Signature]
Signature
February 26, 2008
Date

STATEMENT OF THE SUBSTANCE OF THE INTERVIEW

On February 6, 2008 the undersigned telephoned the Examiner to confirm the understanding of the meaning of the term "cinnoline compounds" set forth in the most recent restriction requirement. The Examiner confirmed that the term refers to compounds in which the ring attached to the diazine ring is a 6-membered carbon ring. No specific claims were discussed.

Respectfully submitted,

[Signature]
Kevin S. Lemack
Reg. No. 32,579
176 E. Main Street - Suite 7
Westboro, Massachusetts 01581
TEL: (508) 898-1818